

To be completed when a securities product is being replaced with a life insurance or annuity product.

A recommendation was made to replace a securities product with a life insurance or annuity product for the following applicant:

Name of Proposed Insured/Annuitant

By signing below, I certify that I am currently registered as a variable contract producer and hold the appropriate securities registration(s).

Insurance Producer Signature

Date

Variable Contract Producer License No.

License State

License Expiration Date